

# Andrea Huber

Partner  
Attorney at law, LL.M.

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**Andrea Huber is a Partner at Pestalozzi and a member of the firm's Financial Services Group. She specializes in banking and financial regulation, including asset management and investment funds, fintech, insurance, internal and regulatory investigations, enforcement proceedings, and white-collar crime. Andrea frequently represents clients before the Swiss Financial Market Supervisory Authority (FINMA), the SIX Swiss Exchange, and the CDB Supervisory Board (VSB Aufsichtskommission).**

Admitted to the Zurich Bar in 2003, Andrea brings over 20 years of experience advising banks, asset managers, securities firms, investment companies, and other financial institutions on the full spectrum of regulatory and legal issues. She supports clients in structuring their operations and financial products, navigating licensing and approval processes, and ensuring compliance with evolving regulatory frameworks. Andrea advises on the creation and structuring of investment vehicles, as well as the design and rollout of banking and asset management services for both institutional and retail clients. She regularly works with fund management companies, promoters, investment banks, and distributors on the structuring, listing, and distribution of funds and structured products including L-QIFs. Her expertise also includes institutional governance, stock exchange disclosure obligations, market conduct regulations, regulatory compliance, KYC, and anti-money laundering (AML). She is an Authorized Representative of Issuers at the SIX Swiss Exchange and has been appointed Co-Chair of the International Bar Association's (IBA) Banking Regulation Subcommittee for the 2025–2026 term.

Andrea is recognized for her expertise across her practice areas and has been ranked in leading legal directories such as Chambers, The Legal 500, IFLR1000, and Leaders League. She has been named a "Leading Partner" in Banking & Finance as well as Fintech by The Legal 500 EMEA and

recognized as a “Highly Regarded” financial regulatory expert by IFLR1000.

## Career

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|------|---|
| 2020 | Partner at Pestalozzi<br>Cornell University Graduate School, Executive Women in Leadership  |
| 2019 | Founding partner of law firm for asset managers/fintech companies, Zurich & New York  |
| 2017 | Local partner at major international law firm in Zurich (2017 – 2019)   |
| 2008 | Columbia University School of Law School, New York: LL.M. (2007/2008)   |
| 2006 | Counsel/Senior Associate/Associate at major Swiss Law firm in Zurich (2006 – 2017)  |
| 2004 | Head of Ad hoc Publicity and Reporting Obligations, SIX Swiss Exchange, Zurich<br>Exchange Trader Examination, SIX Swiss Exchange, Zurich |
| 2003 | Legal Counsel SIX Swiss Exchange, Zurich<br>Bar admission in Switzerland (Zurich)   |
| 2000 | University of Zurich: lic.iur.  |

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## Languages

- German
- English

## Practice Areas

- Banking & Finance
- Blockchain & Crypto Assets
- Capital Markets
- Compliance
- Environment, Social, Governance (ESG)
- Financial Services
- Insurance
- Swiss Financial Market Regulation

## **Membership**

- Swiss Bar Association (SAV)
- Zurich Bar Association (ZAV)
- International Bar Association (IBA)
- New York State Bar Association (NYSBA)