

# Publikationen: Andrea Huber

---

## **New FINMA Circular "Rules of Conduct Under FinSA/FinSO**

By Andrea Huber, Samir Ainouz: Legal Update, Zurich 2024

## **Limited Qualified Investor Fund L-QIF verfügbar per 1. März 2024**

By Andrea Huber: iusNet BR-KR 29.02.2024, Zürich 2024

## **Investment Funds 2024 – Switzerland: Law & Practice**

By Andrea Huber, Nils Harbeke, Oliver Widmer: Chambers Global Practice Guides, London 2024

## **FINMA Risk Monitor 2023 – identification of nine significant risks relevant for financial service providers**

By Andrea Huber: Legal Update, Zurich 2023

## **The Duty to Register for Client Advisors of Foreign Financial Service Providers**

By Andrea Huber, Samir Ainouz: Legal Update, Zurich 2023

## **Selected innovations regarding the partial ISA revisions (Part 1/3): Tied assets**

By Andrea Huber, Markus Winkler: Legal Update, Zurich 2023

## **Die Bewilligungspraxis der FINMA für Vermögensverwalter und Trustees**

By Andrea Huber: 20. Zürcher Tagung zu Entwicklungen im Finanzmarktrecht, 6 June 2023

## **Insurance & Reinsurance 2023 - Switzerland**

By Andrea Huber, Lukas Rusch, Beat Schwarz, Markus Winkler: The Legal 500 Country Comparative Guides, London 2023

## **Investment Funds 2023 – Switzerland: Law & Practice**

By Andrea Huber, Nils Harbeke, Oliver Widmer: Chambers Global Practice Guides, London, 2023

## **InDepth Feature: Anti-Money Laundering 2023 - Switzerland**

By Andrea Huber: InDepth Feature Anti-Money Laundering, Financier Worldwide Ltd, UK 2023

### **Digital Assets – Not A Virtual Reality Anymore?**

By Andrea Huber: Co-chair of panel at NYSBA 2022 London Global Conference, 30 November - 2 December 2022

### **FinMIA: A revision to reflect regulatory and technological developments**

By Andrea Huber, Markus Winkler: Legal Update, Zurich 2022

### **GwG-Revision – wirksamere Geldwäschereibekämpfung?**

By Andrea Huber: iusNet BR-KR 29 September 2022

### **Insurance & Reinsurance 2022 - Switzerland**

By Andrea Huber, Lukas Rusch, Beat Schwarz: The Legal 500 Country Comparative Guides, London 2022

### **Aktuelles zum FINMA-Bewilligungsverfahren**

By Andrea Huber: OSFIN presentation for asset managers and trustees, 31 March 2022

### **Developments in Europe Anti-Money Laundering**

By Andrea Huber, Hanna Meakin, Eric Russo, Joydeep Sengupta: Risk & Compliance Magazine, Financier Worldwide, March 2022

### **Swiss Export Controls and Sanctions on Russia and Belarus as of 4 March 2022 and Overview of EU, U.S. and UK sanctions**

By Andrea Huber, Oliver Widmer, Daniela Fritsch: Legal Update, Zurich 2022

### **Investment Funds 2022 - Switzerland: Law and Practice**

By Andrea Huber, Nils Harbeke, Oliver Widmer, Joseph A. Steiner: Chambers Global Practice Guides, London, 2022

### **Ausgewählte Aspekte zur FINMA-Bewilligungspraxis für Vermögensverwalter und Trustees**

By Andrea Huber: iusNet BR-KR 23 December 2021

### **Regulatorische Anforderungen bei verschiedenen Vermögensverwaltungsaktivitäten aus liechtensteinischer und schweizerischer Perspektive**

By Andrea Huber: Liechtensteinische Steuerkonferenz 2021, 26 November 2021

### **Revision of the Insurance Supervision Act - Relief, exemptions and opportunities under the new regulatory and supervisory concept**

By Andrea Huber, Beat Schwarz, Catherine Braun: Lexology, 19 November 2021

### **GwG-Revision – Wirksamere Geldwäschereibekämpfung?**

By Andrea Huber: St. Galler Tagung zur Finanzmarktregulierung, 18 November 2021

**Licensing process for trustees – practical experience with FINMA and supervisory organizations**

By Andrea Huber: STEP presentation on 8 September 2021

**Retail investment funds in Switzerland: regulatory overview**

By Andrea Huber, Nils Harbeke, Oliver Widmer, Joseph A. Steiner: Practical Law, Global Guide 2021

**Qualified life insurance products – proposed new distribution rules under the Insurance Supervision Act**

By Andrea Huber, Beat Schwarz, Catherine Braun, Daniela Fritsch: Lexology, 03 June 2021

**Revision of the Swiss Insurance Supervision Act – Introduction of a new restructuring framework for insurance companies**

By Andrea Huber, Beat Schwarz, Catherine Braun, Daniela Fritsch: Lexology, 26 May 2021

**Revision of the Swiss Insurance Supervision Act – Novelties in the field of insurance intermediation**

By Andrea Huber, Beat Schwarz, Catherine Braun, Daniela Fritsch: Lexology, 18 May 2021

**Regulierung von Trustees und Beratern in der Schweiz**

By Andrea Huber: Schulthess Forum Erbrecht, 25 March 2021

**Investment Funds 2021 - Switzerland: Law and Practice**

By Andrea Huber, Nils Harbeke, Oliver Widmer, Joseph A. Steiner: Chambers Global Practice Guides, London, 2021

**Managing Evolving Money Laundering Risk in Switzerland**

By Andrea Huber: Risk & Compliance Magazine, Financier Worldwide, January 2021

**New Trustee Regulation in Switzerland**

By Andrea Huber, Oliver Widmer: Legal Update, Zurich 2020

**Need for action for asset managers of occupational pension plans**

By Andrea Huber, Oliver Widmer, Urs Kloeti: Legal Update, Zurich 2020

**Cryptocurrencies – A virtual reality?**

By Andrea Huber: Panelist NYSBA Seasonal Meeting, 24 – 26 October 2018, Montreal, Canada

**Open Banking Discussion Swiss Finance + Technology Association**

By Andrea Huber: Panelist 21 August 2018, Zurich

**The Key Targets of Regulatory Changes in Switzerland**

By Andrea Huber: HFM Week, 2018

**PSD2: Impact for Swiss Banks und Fintech companies**

By Andrea Huber: Lexology, 23 July 2018

**New FinTech License – What will be the Requirements?**

By Andrea Huber: Lexology, 4 July 2018

**FIDLEG/FINIG: What's new?**

By Andrea Huber: 15 June 2018

**Ein Blick in die Schweiz: Was wird sich im Vertrieb ändern?**

By Andrea Huber: <http://www.fondstrends.lu>, 22 January 2018

**FINMA circular "Public deposits with non-banks": what it means for FinTech**

By Andrea Huber: Lexology, 18 December 2017

**What the new FINMA Circular 2018/3 means for Swiss banks and insurers**

By Andrea Huber: Lexology, 8 December 2017

**Neues Finanzmarktrecht steht vor unserer Tür – die Zeit drängt!**

By Andrea Huber: B2B Magazin, December 2017

**Why investment advisers in Switzerland should review their business model**

By Andrea Huber: Lexology, 17 October 2017

**Switzerland's New Financial Market Architecture**

By Andrea Huber: NKF Banking, Finance & Regulatory Team, August 2014, Update May 2016, NKF-Schriftenreihe Publikation #20

**Bewilligungspraxis für Vermögensverwalter**

By Andrea Huber, Sandro Abegglen: GesKR 3/2016, p. 320–334

**Cross-border Listings / Dual Listings**

By Andrea Huber, Christoph Balsiger: Going Public on SIX Swiss Exchange, 2011, Update 2015

**Die Revision des Kollektivanlagengesetzes und andere Sorgen unabhängiger Vermögensverwalter**

By Andrea Huber, Sandro Abegglen: Peter R. Isler, Romeo Cerutti (Hrsg.): Vermögensverwaltung VI, Europa Institut Zürich (EIZ), Schulthess 2013, Bd. 141, p. 111–132

**Verhaltenspflichten der Banken bei (auffälligen) Wechseln des wirtschaftlich Berechtigten**

By Andrea Huber: Presentation at Fachtagung zum Geldwäschereigesetz on 5 March 2013

**Die Börsenzulassung in der Schweiz in ihrem rechtlichen und steuerlichen Umfeld**

By Andrea Huber, Markus Kronauer, Philippe A. Weber: Unternehmensfinanzierung am Kapitalmarkt, Habersack Mathias, Mülbart Peter O., Schlitt Michael, Verlag Dr. Otto Schmidt KG, 2013

**A Changing Landscape – A Guide to Regulatory Developments in the Distribution of Retail Investment Products**

By Andrea Huber, Sandro Abegglen: Herbert Smith Publication 2011, Update 2012, p. 36–39

**Consultation of SIX Swiss Exchange regarding Amendment of Management Transactions Rules**

By Andrea Huber: caplaw 2010 n. 27, 26 May 2010

**Newsflash Securities Trading**

By Andrea Huber: caplaw 2009 n. 73, 25 November 2009

**SIX Swiss Exchange publishes Guidance on Disclosure of Shareholdings in Capital Market Transactions**

By Andrea Huber: caplaw 2009 n. 28, 11 May 2009

**SIX Swiss Exchange: News effective as of 1 January 2009**

By Andrea Huber: caplaw 2009 n. 2, 29 January 2009

**Offenlegung von Managemententschädigungen und Management-Transaktionen**

By Andrea Huber, Philippe A. Weber: Kapitalmarkttransaktionen II, Thomas U. Reutter/Thomas Werlen (Hrsg), Schulthess 2007

**Ad hoc-Publizität: Gleichbehandlung im Kapitalmarkt**

By Andrea Huber: Jusletter 27 June 2005

**Revidierte Regelung im Bereich der Ad hoc-Publizität**

By Andrea Huber: Jusletter 14 March 2005

**Publizitätspflichten börsenkotierter Unternehmen**

By Andrea Huber: Presentation at Post Graduate Program Corporate Finance for CFOs, Zurich, 28 January 2005

**Transparenz des Kapitalmarktverhaltens**

By Andrea Huber: Presentation at Swissholdings, Zurich, 26 November 2004

**Ad hoc-Publizität und Analystenverhalten**

By Andrea Huber: Presentation at a Private Bank in Zurich, 11 March 2004