

# Andrea Huber

Partner  
Attorney at law, LL.M.

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**Andrea Huber is a Partner and member of Pestalozzi's Financial Services Group specialising in banking and regulatory matters, asset management/investment funds, fintech, insurance, internal and regulatory investigations, enforcement proceedings and white-collar crime. She regularly represents clients in proceedings before the Swiss Financial Market Supervisory Authority FINMA, the SIX Swiss Exchange and the CDB Supervisory Board (VSB Aufsichtskommission).**

Andrea was admitted to the bar in Switzerland (Zurich) in 2003 and has more than 20 years of experience in advising banks, asset managers, securities firms, investment companies and other financial services providers on all regulatory and legal aspects of their business operations, organisation and products and assists them in obtaining regulatory licenses and approvals. She advises on and establishes investment/private equity structures as well as banking and asset management services and products, serving both institutional and retail clients. She also advises fund management companies, promoters, investment banks and distributors with respect to the structuring, listing and offering of funds and structured products. In addition, Andrea provides advice on issues of institutional governance, stock exchange disclosure rules, market conduct law, regulatory compliance, KYC and AML. Andrea is an Authorized Representative of Issuers at the SIX Swiss Exchange and has been appointed Vice Chair of the International Bar Association's Banking Regulation Sub-Committee for the 2024-2025 term.

Andrea is recommended in her fields of expertise by various leading legal directories including Chambers, The Legal 500, IFLR1000 and Leaders League and has been ranked as "Leading Individual" in Banking & Finance by The Legal 500 EMEA 2023 and recognised as "highly regarded" financial services regulatory expert by IFLR1000.

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## Career

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| 2020 | Partner at Pestalozzi<br>Cornell University Graduate School, Executive Women in Leadership  |
| 2019 | Founding partner of law firm for asset managers/fintech companies, Zurich & New York  |
| 2017 | Local partner at major international law firm in Zurich (2017 – 2019)   |
| 2008 | Columbia University School of Law School, New York: LL.M. (2007/2008)   |
| 2006 | Counsel/Senior Associate/Associate at major Swiss Law firm in Zurich (2006 – 2017)  |
| 2004 | Head of Ad hoc Publicity and Reporting Obligations, SIX Swiss Exchange, Zurich<br>Exchange Trader Examination, SIX Swiss Exchange, Zurich |
| 2003 | Legal Counsel SIX Swiss Exchange, Zurich<br>Bar admission in Switzerland (Zurich)   |
| 2000 | University of Zurich: lic.iur.  |

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## Languages

- German
- English

## Practice Areas

- Banking & Finance
- Blockchain & Crypto Assets
- Capital Markets
- Compliance
- Environment, Social, Governance (ESG)
- Financial Services
- Insurance
- Swiss Financial Market Regulation

## Membership

- Swiss Bar Association (SAV)
- Zurich Bar Association (ZAV)
- International Bar Association (IBA)
- New York State Bar Association (NYSBA)